



4TH ANNUAL

THIRD-PARTY RISK MANAGEMENT & OVERSIGHT SUMMIT

RISK-BASED DUE DILIGENCE PROTOCOLS AND PRACTICAL GUIDANCE TO EFFECTIVELY EVALUATE VENDORS AND ASSESS RISK

MARCH 25-26, 2019

PRINCETON CLUB | NEW YORK CITY, NY



20 KEY TOPICS



20+ INDUSTRY EXPERTS



8 NETWORKING OPPORTUNITIES



UP TO 13 CPEs AVAILABLE



WHY ATTEND

The Third-Party Risk Management & Oversight Summit is the leading conference for compliance and procurement professionals to come together and spend two days solely dedicated to the sharing of knowledge and experience within third party risk management, equipping them with best practices to properly identify and reduce risk effectively, benchmarking information to ensure alignment, and the knowledge needed to implement and foster compliant third party relationships.

CAREFULLY CRAFTED SESSIONS COVER ALL THE ESSENTIAL TPRM ELEMENTS

We've gathered top risk and compliance experts from a variety of industries to share their best practices with you on everything from identifying and evaluating third-party risks to refining due diligence onboarding and monitoring protocols. Sessions will provide insight on essential topics such as reporting to the board, safeguarding your company from cybersecurity breaches, and selecting technology to assist with ongoing and new compliance requirements - among other critical and dynamic TPRM concerns.



HEAR FROM AN ESTEEMED LINEUP OF INDUSTRY THOUGHT LEADERS

Participate in interactive discussions answering key questions that those involved in developing due diligence protocols and TPRM programs are asking, such as:

- Are the proper areas - whether information security, reputational, or financial risk - truly represented in your risk assessment and vetting process?
- What type of predictive data should you be gathering for future strategic planning?
- What are the best practices for effectively and strategically reporting the ROI realized by the TPRM team to stakeholders?
- What are due diligence best practices for onboarding new partners?
- How do you prioritize third-party oversight measures based on risk categorization?
- What are the government's expectations of a TPRM program?
- How are companies leveraging new technologies such as IOT, AI, and blockchain for ongoing and new compliance requirements?
- In moving to the cloud - who's responsible for what as it relates to security and risk? What's your due diligence?
- What are companies doing with TPRM to be compliant with GDPR?
- When and how do you terminate vendors?



TOP REASONS TO ATTEND

1

Get unparalleled insights into leading compliance programs from a wide array of industries to improve how you manage your vendors and business partners

2

Improve your understanding of new technologies such as IOT, AI, and blockchain to leverage for ongoing and new compliance requirements

3

Avoid potential reputational damage by strengthening your protection of cybersecurity and third-party data use

4

Get practical guidance for designing and implementing an effective TPRM program

5

Learn how other companies are approaching current and emerging third-party risks to better protect your organization

6

Take advantage of the 8+ networking opportunities to engage peers and industry thought leaders—this year's agenda is designed to maximize networking and information sharing



WHO SHOULD ATTEND?



This conference is for senior executives and managers from all industries and organizations who oversee or are responsible for the compliance of third-party vendors and other business partners. Conference participants will network with the following:

- Chief Compliance Officers
- Procurement Managers
- Risk Officers
- Ethics Directors
- Procurement Directors
- Purchasing Managers
- Third Party Managers
- Vendor Managers
- Oversight Officers
- Operations Officers
- Legal Staff
- Anti-Corruption
- Supply Chain Managers
- Auditors
- Due Diligence Heads
- Anti-Corruption, Anti-Bribery Heads
- Training Staff
- Enterprise Risk Management (ERM)
- Privacy Specialists
- Information Security
- Financial: CFO, CRO, Controller, Audit Officers
- Business Resiliency Specialists



RAVE REVIEWS



"Really enjoyed the conference! Great speakers and the material was delivered efficiently and effectively. Looking forward to next year's event."

- **PAUL WHITEHORN, VENDOR RISK MANAGEMENT, FEDEX**

"Practical advice and insight on how other companies are handling these challenges, and nice cross-functional representation on issues....well timed with enough time to network, check work email, etc."

- **TESS CASEY, COMPLIANCE, UNDER ARMOUR, INC.**

"Excellent detailed coverage of issues - especially varied approaches and tools on third-party due diligence."

- **DIANA JAGIELLA, CCO AND VP, THE MOSAIC COMPANY**

"Being able to gain insight from all industries in relation to third-party risk has been beneficial, coming from the financial industry."

- **HEATHER THOMAS, COMPLIANCE, BBVA COMPASS**

"The speakers and moderators provided good insight and detail. The Keynote Speaker drove the topics home."

- **MELISSA EVANS, SUPPLY CHAIN, ROYAL CARIBBEAN CRUISES**

"Great conference...The panelists shared info that was invaluable, [providing] several takeaways on becoming more effective."

- **KAREN MUSSER, FINANCE/ COMPLIANCE, VERIZON**

"Great topics, very informative to see what other companies are doing and the challenges/ areas of focus [of the] attendees. Also, great networking to facilitate knowledge sharing."

- **NICOLE MCKINNEY, RISK TRANSFORMATION/ TPRM, ERNST & YOUNG**

"Good, relevant topics. Big enough to effectively network, yet small enough that you had ample opportunities for questions."

- **RYAN GARDNER, CORPORATE RISK, UMB FINANCIAL CORPORATION**

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COMPLIANCE WEEK



FEATURED SPEAKERS

KARA BROCKMEYER



Partner

DEBEVOISE & PLIMPTON

Former Chief, *FCPA Unit*

U.S. SECURITIES AND EXCHANGE COMMISSION

Kara Brockmeyer is a litigation partner based in the firm's Washington, D.C. office. She is a member of the White Collar & Regulatory Defense and Strategic Crisis Response and Solutions Groups. Prior to joining Debevoise in 2017, Ms. Brockmeyer served as the Chief of the SEC Enforcement Division's Foreign Corrupt Practices Act Unit and directed a nationwide team of attorneys and forensic accountants investigating violations of the FCPA, including anti-bribery, books and records, and internal controls provisions of the federal securities laws. During her tenure as chief of the unit, she oversaw many of the agency's largest and most complex FCPA investigations, and under her leadership, the SEC increased its coordination with other countries to reach global settlement resolutions. She was also one of the principal authors of the SEC-DOJ Resource Guide to the Foreign Corrupt Practices Act, which is widely considered the definitive government-issued guide on the FCPA. Ms. Brockmeyer's expertise extends well beyond the FCPA. Over a seventeen-year career at the SEC, including five years as an assistant director, she has led and personally conducted major investigations in all areas of SEC enforcement, including financial reporting, broker-dealer and investment adviser violations, and insider trading.

STEPHANIE TSACOUMIS



Professor of Securities Law

GEORGETOWN LAW CENTER

Former General Counsel

US CONSUMER PRODUCT SAFETY COMMISSION

From serving as managing partner of the DC office of an A-list international firm representing top Fortune companies, as general counsel and chief compliance officer of a \$2 billion non-profit with international operations, and as a senior government official managing the legal function and multiple agency units, Stephanie has a wide range of operational, legal, government relations, compliance and governance experience.

She also has authored a top Securities Exchange Act reference for public companies and innovative web-based compliance resources for manufacturers, distributors and retailers of consumer products.



SPEAKERS



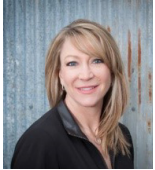
Adi Agrawal, *Executive Advisor & CEO*
CLR80



Manoj Agarwal, *Chief Internal Auditor and Risk Manager*
METRO SHOES LIMITED



Brad Keller, *Senior Director Third Party Strategy, JD, CTPRP, CTPRA*
PREVALENT



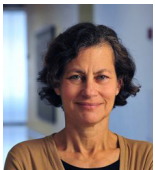
Laura Clark Fey, *Principal*
FEY LLC



Alexandra Wrage, *President*
TRACE INTERNATIONAL



James Griffin, *Director of Global Risk and Compliance Operations*
AMERICAN EXPRESS GLOBAL BUSINESS TRAVEL



Nancy Jacobson, *Counsel, Global Compliance and Ethics*
UNITED



Ha-Thanh Nguyen, *Legal Director, Global Compliance & Ethics*
PEPSICO, INC.



Cynthia Roller, *Americas Customs Compliance Manager*
CATERPILLAR. INC.



Lisa Glover-Gardin, *Senior Counsel, E&C*
GOOGLE, INC.



Uzi Roshia, *Chief Compliance Officer*
HUDSON ADVISORS



Blair Shaw, *Director, Global Procurement Compliance*
MERCK & CO., INC.



Jennifer Donaldson, *InfoSec Business Application Principal*
FEDEX CORPORATION



James Gellert, *Chairman & CEO*
RAPIDRATINGS



Jeffrey Schwartz, *Associate General Counsel, International Trade Compliance (ITC)*,
BOOZ ALLEN HAMILTON



George Kaniarasseril, *CPA, Third Party Risk Program Manager*,
STANDARD CHARTERED BANK



Patrick Henz, *Head of Governance & Compliance US and Regional Compliance Officer Americas*,
PRIMETALS TECHNOLOGIES



Kevin J. O'Connor, *CFI, MBA*,
FORENSIC FINANCIAL SERVICES, INC.



Steve Greenstein, *Senior Advisory Lead Consultant*,
FUSION RISK MANAGEMENT



Vishal Thakkar, *Senior Vice President, Enterprise Risk Management*,
THE OPTIONS CLEARING CORPORATION



Michael Savicki, *VP, Compliance - The Americas*,
AMERICAN EXPRESS GLOBAL BUSINESS TRAVEL



Michael Donnell, *Corporate Compliance Officer*,
MURPHY OIL CORPORATION



John Bandler, *Founder*
BANDLER GROUP LLC



Sanjay Manocha, *Senior Director, Digital Risk and Compliance*
CONDUENT LEGAL AND COMPLIANCE SOLUTIONS



Dov Sparkman, *Director Compliance Solutions Development*,
CONDUENT LEGAL AND COMPLIANCE SOLUTIONS



Vignesh Veerasamy, *Partner/Principal, Global and Americas TPRM Leader*,
ERNST & YOUNG LLP



Adam Horowitz, *Senior Manager, Northeast Financial Services TPRM Lead*,
ERNST & YOUNG LLP



Rolan Moldes, *West and Southwest TPRM Lead*,
ERNST & YOUNG LLP



Sarah Fercho, *Director of Vendor Risk*,
TARGET



Michael Borgia, *Vice President, Cyber Solution*,
STROZ FRIEDBERG, AN AON COMPANY



Bryn Sedlacek, *Director of Business Development*,
ARAVO SOLUTIONS



Gordon Rudd, *Third Party Risk Officer*,
VENMINDER



Cindy Belmore, *Head of Insurance, Workplace & Institutional Compliance*
MASSMUTUAL



Sudhanshu Kairab, *VP of Cybersecurity Governance*,
COMCAST CORPORATION



Adam Reback, *Partner, Chief Compliance Officer*,
J. GOLDMAN & CO.





PAST ATTENDING COMPANIES





DAY ONE: MONDAY, MARCH 25, 2019

8:00 REGISTRATION & NETWORKING BREAKFAST

8:45 CHAIRPERSON'S WELCOME AND OPENING REMARKS

Adi Agrawal, Executive Advisor & CEO
CLR80

9:00 THINK TANK: BRINGING YOUR "A" GAME TO THIRD-PARTY RISK

- What are the greatest third-party risks we face?
- How are compliance programs adapting to account for emerging risks?
- How do we prioritize third-party oversight measures based on risk categorization?
- What are the most critical considerations when informing senior leadership and board members of risks and mitigation plans?
- Our TPRM structures - how do we:
 - Effectively and strategically allocate resources?
 - Conduct assessments and due diligence?
 - Define and organize functional roles to efficiently cover all bases, efficiently?

Moderator:

Gordon Rudd, Third Party Risk Officer,
VENMINDER

Panelists:

Uzi Rosha, Chief Compliance Officer
HUDSON ADVISORS

Michael Donnella, Corporate Compliance Officer,
MURPHY OIL CORPORATION

Cindy Belmore, Vice President,
MASSMUTUAL

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10:00 ADDRESSING AND UNDERSTANDING YOUR STRATEGIC THIRD-PARTY EXPOSURE

Most companies which have implemented their TPRM programs are at the next stage, assessing whether the programs address the real risks of the enterprise, and whether they ask the right people the right questions. This session will delve into the following:

- Do you know what your risk appetite is? Why is that important?
- What and where are your vulnerabilities?
- What are some examples of effective risk rating methodologies?
- Are the proper areas - whether data protection, information security, or financial risk - represented during assessment?
- Taking stock of third-party risk to make strategic decisions for your company
- Are your third-party partners better at managing certain types of risks than you are? Can you leverage that?
- C-suite and board level - what should they be doing to define and contain third-party risk?

Moderator:

Adi Agrawal, Executive Advisor & CEO
CLR80

Panelists:

Nancy Jacobson, Counsel, Global Compliance and Ethics
UNITED

Vishal Thakkar, Senior Vice President, Enterprise Risk Management,
THE OPTIONS CLEARING CORPORATION

Michael Borgia, Vice President,
STROZ FRIEDBERG

Adam Reback, Partner, Chief Compliance Officer,
J. GOLDMAN & CO.

11:00 MORNING NETWORKING BREAK

11:15 RISK ASSESSMENT FRAMEWORKS AND INDUSTRY APPLICABILITY

- Quantifying risk: we have product, quality, and price metrics, but what about risk metrics?
- Current practices in risk ranking and stratifying third parties by product and risk level
- Types and effectiveness of third-party attestation reports
 - Using service organization controls (SOC) reports
- Types of risks to identify and address - such as data, legal, financial - with different types of vendors
- How do you manage and track residual risk? How do you quantify it?

James Griffin, *Director of Global Risk and Compliance Operations*
AMERICAN EXPRESS GLOBAL BUSINESS TRAVEL

Blair Shaw, *Director, Global Procurement Compliance**
MERCK

Brad Keller, *Senior Director Third Party Strategy, JD, CTPRP, CTPRA,*
PREVALENT

12:15 **LUNCHEON “CHOOSE YOUR OWN ADVENTURE” BREAKOUT ROUNDTABLES**

Attendees will have a choice of targeted roundtable topics in which they can engage on more detailed discussion points and benefit from a more topic-specific networking opportunity. Please see the list of roundtable topics below.

1. Ranking Risks: how do you weigh which ones are most critical? Training and certification programs will also be discussed at this roundtable.

Facilitator:

Alexandra Wrage, *President,*
TRACE INTERNATIONAL

2. Identifying Red Flags with Third Parties

Facilitator:

James Gellert, *Chairman & Chief Executive Officer,*
RAPID RATINGS

3. Due Diligence Questionnaires: what are the must-ask questions for third parties? How are these questions changing going forward? What are the newest risks companies need to be on the lookout for?

Facilitator:

Brad Keller, *Senior Director Third Party Strategy, JD, CTPRP, CTPRA,*
PREVALENT

4. Strategies for Continuous Monitoring of Third Parties

Facilitator:

Gordon Rudd, *Third Party Risk Officer,*
VENMINDER

5. The Future of Third-Party Risk Management

Facilitator:

Vignesh Veerasamy, *Partner/Principal,*
GLOBAL AND AMERICAS TPRM LEADER

Adam Horowitz, *Senior Manager, Northeast Financial Services TPRM Lead,*
ERNST & YOUNG

6. Practical Applications of Big Data Technologies in Third-Party Risk Management: applying data analytics, blockchain, AI, machine learning, and other technologies to address the increasingly complex issues in third-party risk management.

Facilitators:

Sanjay Manocha, Senior Director,
DIGITAL RISK AND COMPLIANCE

Dov Sparkman, Director, Compliance Solutions Development,
CONDUENT

7. Opting in: GDPR, CCPA - Privacy Laws and Third-Party Compliance

Facilitator:

Kimberley Allan, CMO,
ARAVO SOLUTIONS

8. Ongoing Monitoring and Management of Vendor Relationships

- How do you stay up to speed with vendor performance?
- How do you record and report outages/incidents/risk factors that can change the value of your vendors?
- How do you conduct/manage vendor performance reviews?
- How do you facilitate continuing, expanding, or severing a relationship?
- How do you reduce risk and cost while still gaining high levels of service?

Facilitator:

Steve Greenstein, Senior Advisory Lead Consultant,
FUSION RISK MANAGEMENT

1:45 **REPORTING – BEST PRACTICES AND THE ROI OF EXTERNAL RELATIONSHIPS**

Lessons learned from inception of a TPRM program as it relates to reporting the risks and influencing the stakeholders. Discussion of metrics will include the following:

- Discussion of metrics will include the following:
- Financial risk: the importance of understanding the financial health of a vendor and sharing this information with the correct stakeholders: contract owners, Legal, Sourcing, etc.
- Information Security Risk: risk abatement reporting and how this reflects the value of the TPRM team
- Business continuity/disaster recovery; risk abatement and reporting gaps
- Best practices for effectively and strategically reporting the ROI realized by the TPRM team
- Cost-benefit analysis and ROI: how much are you willing to spend?
- Third-party influence on business, beyond compliance

Kara Brockmeyer, *Partner*
DEBEVOISE & PLIMPTON

Former Chief, *FCPA Unit*
U.S. SECURITIES AND EXCHANGE COMMISSION

Jennifer Donaldson, *InfoSec Business Application Principal*,
FEDEX SERVICES

James Gellert, *Chairman & Chief Executive Officer*
RAPID RATINGS

2:30 THIRD-PARTY DUE DILIGENCE

- Selecting appropriate suppliers that are capable of meeting your company's compliance obligations
 - *Assessing a third-party's financial ability to maintain compliance*
 - *Screening 4th and 5th parties: how far to go?*
- Due diligence best practices for onboarding new partners
 - *Capturing complete third-party information: requisite certifications, contracts and other documents*
- Creating a Culture of Trust: building business relationships with third parties
- Training, education and certification: who, how often, how long
- Assessing the perils of "vetting and forgetting" - adapting your program to changing risks
 - *Best practices for monitoring third parties, audits and on-site visits*
 - *Third-party questionnaires - are you asking the right questions? How do you assess effectiveness?*
- Working with uncooperative third parties
- When and how to terminate vendors

Moderator:

Jeffrey Schwartz, *Associate General Counsel, International Trade Compliance (ITC)*,
BOOZ ALLEN HAMILTON

Panelists:

Lisa Glover-Gardin, *Senior Counsel, Third Party Data Risk*
GOOGLE, INC.

Manoj Agarwal, *Chief Internal Auditor and Risk Manager*
METRO SHOES LIMITED

Alexandra Wrage, *President*
TRACE INTERNATIONAL

3:30 AFTERNOON NETWORKING BREAK

**REGISTER
TODAY**



3:45

WORKFLOW MANAGEMENT: WHO'S DOING WHAT?

- Considering how TPRM activities fit into TPM cycle (sourcing to termination), is there any overlap or duplication of effort between internal groups? How can you leverage the various teams to complete vendor assessments that are of value to everyone?
- Working cross-functionally to gain buy-in and Board approval
- Compliance interaction with other departments and in-house politics
- Culture of compliance best practices

Moderator:

Steve Greenstein, *Senior Advisory Lead Consultant*,
FUSION RISK MANAGEMENT

Panelists:

Ha-Thanh Nguyen, *Legal Director, Global Compliance & Ethics*,
PEPSICO INC

Kevin J. O'Connor, *CFI, MBA*,
FORENSIC FINANCIAL SERVICES, INC.

Sarah Fercho, *Director Vendor Risk*,
TARGET

4:45

NETWORKING RECEPTION



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DAY TWO: TUESDAY, MARCH 26, 2019

8:00 REGISTRATION & NETWORKING BREAKFAST

8:45 CHAIRPERSON'S WELCOME AND RECAP OF DAY ONE

Adi Agrawal, Executive Advisor & CEO
CLR80

9:00 KEYNOTE ADDRESS: GOVERNMENT EXPECTATIONS FOR THIRD-PARTY COMPLIANCE PROGRAMS

Kara Brockmeyer, Partner
DEBEVOISE & PLIMPTON

Former Chief
FCPA UNIT, U.S. SECURITIES AND EXCHANGE COMMISSION

9:45 PRACTICAL GUIDANCE FOR DESIGNING AND IMPLEMENTING AN EFFECTIVE TPRM PROGRAM

- The government's expectations of a TPRM program
 - *What to expect if the government comes knocking*
- Compliance department structure and governance structure
- Challenges of oversight and working with resource limits
- Implementing a program quickly

Sudhanshu Kairab, VP of Cybersecurity Governance,
COMCAST

Rolan Moldes, Senior Manager, West and Southwest TPRM Lead,
ERNST & YOUNG

10:45 MORNING NETWORKING BREAK

11:00 HOT TOPICS IN TECH

- How are companies leveraging new technologies such as IOT, AI and blockchain for ongoing and new compliance requirements?
- Choosing technology: how to choose an automation system, from budgeting to implementation and upgrades
- Working with your IT department on risk assessment and threat prediction
- Data use in managing risk
- Predictive analytics - what type of data should you be gathering for future strategic planning

Patrick Henz, Head of Governance & Compliance US and Regional Compliance Officer Americas
PRIMETALS TECHNOLOGIES

11:45 PRIVACY RISK: INFORMATION SECURITY AND PRIVACY CONSIDERATIONS

- US privacy standards
- Global privacy laws
- What are companies doing with TPRM to be compliant with GDPR?
- IT Security and penetration tests
- Movement to the cloud - who's responsible for what as it relates to security and risk?
What's your due diligence?
- You have been breached - what now?

Moderator:

Sanjay Manocha, *Senior Director, Digital Risk and Compliance*
CONDUENT LEGAL AND COMPLIANCE SOLUTIONS

Panelists:

Lisa Glover-Gardin, *Senior Counsel, Third Party Data Risk*
GOOGLE, INC.

Laura Clark Fey, Esq., *Privacy Law Specialist (IAPP), CIPP/US, CIPP/E, CIPM, FIP, Principal*
FEY LLC

John Bandler, *Founder,*
BANDLER GROUP LLC

12:45 NETWORKING LUNCHEON

1:45 BEST PRACTICES AROUND CORRUPTION AND NON-CORRUPTION RISKS

- ISO 37001 - Government interest in fraud and bribery
- ABAC evolution and enforcement: FCPA, UK Bribery Act, Brazilian Clean Companies Act, Sapin II and others
- Reputational risk and financial implications
- Best practices in confronting the risks in your supply chain
- Mitigating domestic risks, such as SSN fraud and fraudulent sales

Michael Savicki, *VP, Compliance - The Americas,*
AMERICAN EXPRESS GLOBAL BUSINESS TRAVEL

Bryn Sedlacek, *Director of Business Development,*
ARAVO

2:45 SUPPLY CHAIN OPTIMIZATION

Part I: Mitigating Supply Chain Disruptions: Customs Clearance, Transportation, Natural Disasters, Finance and Others

- Supply chain optimization
- Working with export controls
- Trade wars and tariffs - shifting the supply chain for JIT manufacturing
- Addressing risks from the inherent complexity of the financial supply chain
 - *When all regulations in the US, EU, Japan are focused on making you responsible for the whole chain*
 - *The threat of shadow banking*

Cynthia Roller, Americas Customs Compliance Manager

CATERPILLAR

George Kaniarasseril, CPA, Third Party Risk Program Manager,

STANDARD CHARTERED BANK

Stephanie Tsacoumis, Managing Partner, PEBBLE BEACH ASSOCIATES LLC and Professor of Securities Law,

GEORGETOWN LAW CENTER

Former General Counsel

US CONSUMER PRODUCT SAFETY COMMISSION

4:00 *RECAP AND CONFERENCE CONCLUDES*

**REGISTER
TODAY**





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PROGRAM LEVEL: Basic

ANTICIPATED CPE CREDITS: 13 CPE Credits

RECOMMENDED FIELD(S) OF STUDY: Management Services, Regulatory Ethics, Economics, Finance, Information Technology, and Business Management & Organization

PREREQUISITES: None

ADVANCE PREPARATION: None

INSTRUCTIONAL DELIVERY METHOD: GROUP LIVE

LEARNING OBJECTIVES: UPON COMPLETING THE COURSE, PARTICIPANTS WILL:

- Learn how to quantify third-party risk for strategic reporting of ROI to stakeholders
- Analyze a cost-benefit analysis against third-party, vendor and subcontractor risks and rewards
- Be able to assess a third-party's financial ability to maintain compliance
- Be able to assess financial implications of reputational risks
- Learn how to choose an automation system, from budgeting to implementation and upgrades
- Gain strategies to mitigate financial risks such as SSN fraud, fraudulent sales and shadow banking

For more information, visit our website: www.frallc.com/thefineprint.aspx

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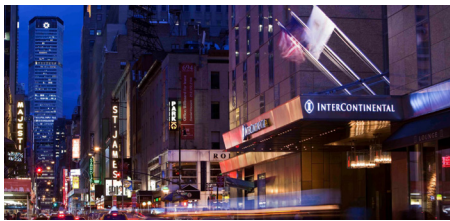
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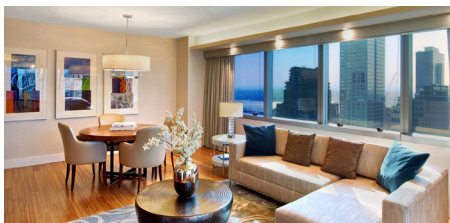
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Aravo Solutions delivers award-winning, market-leading cloud-based solutions for managing third-party governance, risk, compliance and performance. We help companies protect their business value and reputation by managing the risks associated with third parties and suppliers, and to build business value by ensuring that their third-party relationships are optimized. We are passionate about helping companies eliminate corruption and social injustice from their extended enterprise.



ProcessUnity's cloud-based solutions help organizations of all sizes automate their risk and compliance programs. Our highly configurable, easy-to-use tools significantly reduce manual administrative tasks, allowing customers to spend more time on strategic risk mitigation. As a software-as-a-service technology, ProcessUnity deploys quickly with minimal effort from customers and their IT resources. Our technology delivers faster, better results, and the ability to scale governance, risk, and compliance programs over time. ProcessUnity's suite of applications includes Third-Party Risk Management, Policy and Procedure Management, Enterprise Risk Management, Regulatory Compliance Management, Product and Service Offer Management, and more. Learn more at www.processunity.com.



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RapidRatings® is transforming the way the world's leading companies manage enterprise and financial risk. RapidRatings provides the most sophisticated analysis of the financial health of public and private companies in the world. The company's analytics system provides predictive insights into third-party partners, suppliers, vendors, customers and securities issuers. Every business conversation becomes more productive, transparent and efficient with the RapidRatings Financial Health System™. For more information, visit ww.rapidratings.com.



Fusion Risk Management is a leading industry provider of cloud-based software solutions for business continuity, integrated risk management, IT disaster recovery, and crisis and incident management. Its products and services take organizations beyond legacy solutions and empower them to make data-driven decisions with a comprehensive and flexible approach through one system. Fusion and its team of experts are dedicated to helping companies achieve greater organizational resilience and mitigate risks within their businesses.



In today's modern environment of cloud-based infrastructure and applications, third parties represent a significant area of risk exposure. Managing this risk has taken on a new level of urgency, as the likelihood that organizations will face a data breach or experience financial, regulatory, operational, or reputational exposure is climbing at a dramatic pace. Since its founding in 2004, Prevalent helps companies manage third party risk. It is the industry's only unified platform that integrates a powerful combination of automated risk-tiered assessments, continuous, real-time monitoring, and evidence sharing for collaboration between enterprises and vendors. No other product on the market combines all three components, providing the best solution for a highly-functioning, efficient third party risk program. As the leader in Third-Party Risk Management, Prevalent's actionable intelligence accelerates time to value, improves scale, and increases efficiency across any organization. Delivered as a cloud-based SaaS model, the Prevalent platform targets enterprise customers.

venminder

Venminder is the national leader in third party risk management headquartered in Elizabethtown, Kentucky. Through the firm's software and suite of outsourced services, Venminder has pioneered a new age by dramatically reducing the workload essential to meeting today's demanding regulatory requirements.

Venminder's software organizes all things vendor-related and guides users through critical processes such as contract management, risk assessments, due diligence requirements, questionnaires and more. The firm also offers a popular suite of outsourced due diligence services which includes collecting documents and then assessing them for risk in cybersecurity, information security, business continuity/disaster recovery and financial health.

Venminder has over 600 customers across a variety of industries. As their solutions are scalable, their clients range in size from small to very large organizations.



As better-connected consultants, we help EY clients navigate the Transformative Age. Being better-connected lies at the heart of EY Advisory and how we work. EY consultants collaborate with each other, EY clients, market influencers and strategic alliances globally to help the clients realize sustainable results and build a better working world.

By asking the better questions and finding answers to some of the world's toughest challenges, EY Advisory is helping to build a better working world.

The better the question. The better the answer. The better the world works.

In a connected world where third-party relationships offer opportunities to provide exceptional customer experiences and drive profitable growth, they also open the door to a host of risks. EY's Third Party Risk Management (TPRM) provides a function for our clients to identify, evaluate, monitor and manage these risks associated with third parties and contracts. Our TPRM offering includes a full suite of global services to diagnose, transform, implement and manage companies' TPRM programs. Aligned to industry-leading practices and regulatory guidance, EY's TPRM offering is adapted to solve the unique challenges of the Transformative Age.



Conduent creates digital platforms and services for businesses and governments to manage millions of interactions every day for those they serve. We are leveraging the power of cloud, mobile and IoT, combined with technologies such as automation, cognitive and blockchain to elevate every constituent interaction, driving modern digital experiences that are more efficient, helpful and satisfying. Conduent's differentiated offerings touch millions of lives every day, including two-thirds of all insured patients in the U.S. and nearly nine million people who travel through toll systems daily. Whether it's digital payments, claims processing, benefit administration, automated tolling, customer care or distributed learning - Conduent serves a majority of the Fortune 100 companies and more than 500 government entities. Learn more at www.conduent.com.

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FRA produces more than 20 conferences annually, focused on sophisticated topics and ample networking opportunities for thousands of executives from mid- to senior-level and C-suite. Our team of subject matter experts is often first to market with emerging topics and we pride ourselves on consistently delivering on top-quality operations and logistics to produce a seamless event.

Founded in 2001 as Financial Research Associates, the company is headquartered in Charlotte, North Carolina, and has expanded its financial roots to serve a multitude of industries under the name Foundation Research Associates - including but not limited to finance, law enforcement, government, legal and compliance. FRA operates alongside its counterpart, RISE, which serves the healthcare community in a similar capacity.